



**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PICOZZI, et al.

Atty. Dck. No.: 108910-00111

Serial No.: 10/630,697

Examiner: Unknown

Filed: July 31, 2003

Art Unit: 1621

For: HYDROFLUOROETHERS HAVING AT LEAST ONE HYDROGENATED  
OCFX'CH<sub>3</sub> END GROUP WHEREIN X'=F, CF<sub>3</sub> AND THEIR PREPARATION  
PROCESS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: March 11, 2004

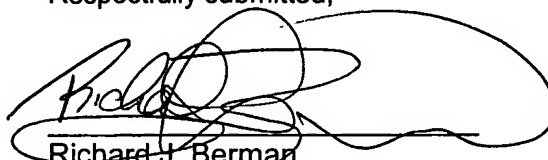
Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information items listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the items be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The references were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

Respectfully submitted,



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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

108910-00111

SERIAL NO.

10/630,697

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

PICOZZI, et al.

FILING DATE

July 31, 2003

GROUP

1621

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NO. | DATE | NAME | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|---------------------|----|-----------------|------|------|-------|---------------|----------------|
|                     | AA |                 |      |      |       |               |                |
|                     | AB |                 |      |      |       |               |                |
|                     | AC |                 |      |      |       |               |                |
|                     | AD |                 |      |      |       |               |                |
|                     | AE |                 |      |      |       |               |                |
|                     | AF |                 |      |      |       |               |                |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NO. | DATE            | COUNTRY       | CLASS | SUB-<br>CLASS | TRANSLATION<br>YES NO PART. |   |  |
|--|----|-----------------|-----------------|---------------|-------|---------------|-----------------------------|---|--|
|  | AG | EP 1 170 275 A  | January 2, 2002 | European      |       |               | X                           |   |  |
|  | AH | EP 1 085 591 A  | March 21, 2001  | European      |       |               | X                           |   |  |
|  | AI | GB 1 034 926 A  | July 6, 1966    | Great Britain |       |               | X                           |   |  |
|  | AJ | EP 0 657 211 A  | June 14, 199    | European      |       |               |                             | X |  |
|  | AK |                 |                 |               |       |               |                             |   |  |
|  | AL |                 |                 |               |       |               |                             |   |  |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |   |
|--|----|---|
|  | AM | Int'l Pub. No. WO 99 47480 A, September 23, 1999, World Intellectual Property Organization* |
|  | AN |   |
|  | AO |   |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\* Previously submitted on September 16, 2003.